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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 04983.0201.00US00/38-21(51237)F

First Named Inventor or Application Identifier BOUKHAROV

Title Plant Genome Sequence and Uses Thereof

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patent
Box Patent Application
Washington, DC 20231

1. ☐ *Fee Transmittal Form (Form PTO-1082)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 112] 1
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. ☐ Drawing(s) (35 USC 113) [Total Sheets]
4. ☐ Oath or Declaration [Total Pages]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named
in the prior application, see 37 CFR 1.63(d)(2) and
1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy
of the oath or declaration is supplied under Box 4b, is considered
as being part of the disclosure of the accompanying application
and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☒ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☒ Statement verifying identity of above
copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Two)
(should be specifically itemized)
14. ☐ *Small Entity ☐ Statement filed in
Statement(s) prior application,
Status still proper
and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: 4 additional CD-ROMs: Sequence
Listing Copy 1, Sequence Listing Copy 2,
Table Copy 1, and Table Copy 2.

*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF
ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: /

Prior Application Information: Examiner: Group/Art Unit:

18. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label 22930 or ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)

NAME	David R. Marsh HOWREY SIMON ARNOLD & WHITE, LLP				
ADDRESS	Box No. 34 1299 Pennsylvania Avenue, N.W.				
CITY	Washington	STATE	DC	ZIP CODE	20004-2402
COUNTRY	US	TELEPHONE	202-783-0800	FAX	202-383-7195
Name (Print/Type)	David R. Marsh/Jane E. Cohan			Registration No. (Attorney/Agent)	41,408/43,741
Signature				Date	October 31, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



10/31/00



1299 PENNSYLVANIA AVE., NW
WASHINGTON, DC 20004-2402
PHONE 202.783.0800
FAX 202.383.6610
A LIMITED LIABILITY PARTNERSHIP

October 31, 2000

Box Patent Application

Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Non-Provisional Utility Patent Application
Application No.: To Be Assigned
Filed: Herewith
For: Plant Genome Sequence and Uses Thereof
Inventors: Andrey A BOUKHAROV *et al.*
Atty. Docket: 04983.0201.00US00/38-21(51237)F



Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Utility Patent Application Transmittal (PTO/SB/05);
2. U.S. Utility Patent Application entitled:

Plant Genome Sequence and Uses Thereof

and naming as inventors:

Andrey A. BOUKHAROV, Yongwei CAO, David K. KOVALIC, Jingdong LIU, James McININCH, and Wei WU

the application consisting of:

- a. A specification containing:
 - (i) 110 pages of a description prior to the claims;
 - (ii) 1 page of claims (7 claims); and
 - (iii) a one (1) page abstract;
3. Three (3) CD-ROMs containing the sequence listing;
4. Two (2) CD-ROMs containing Table 1;
5. Statement Regarding Sequence Submission; and

6. Two (2) return postcards.

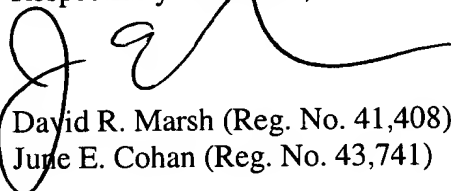
This application is being filed without an executed Declaration, and without payment of official fees.

Submitted herewith are a sequence listing on CD-ROM (two copies) and a sequence listing Computer Readable Form (CRF) on CD-ROM, in compliance with 37 C.F.R. §§ 1.52(e), 1.77, AND 1.823 (AS AMENDED IN 65 Fed. Reg. 54603). It is Applicants' understanding that the U.S. Patent and Trademark Office is currently accepting sequence listing submissions in the format prescribed by the amended Code of Federal Regulations, although the new rules do not become mandatory until November 7, 2000.

Five CD-ROMs accompany this transmittal letter: two copies of a sequence listing (Sequence Listing Copy 1 and Sequence Listing Copy 2), a sequence listing CRF, and two copies of Table 1 (Table Copy 1 and Table Copy 2). Sequence Listing Copy 1 and Sequence Listing Copy 2 are identical to each other and to the sequence listing CRF. All three sequence listing CD-ROMs each contain one file called "Pa_00319.rpt" which is 329,481,825 bytes in size (measured in MS-DOS) and was created on October 27, 2000. Table Copy 1 and Table Copy 2 are identical to each other, and each CD-ROM contains one file called "Rice Table(51237)F.txt" which is 40,543,640 bytes in size (measured in MS-DOS) and was created on October 27, 2000. All CD-ROMs are IBM-PC machine format, and are MS-DOS and MS-Windows compatible.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

Respectfully submitted,



David R. Marsh (Reg. No. 41,408)
June E. Cohan (Reg. No. 43,741)

Enclosures